

Astronomy 1: The Evolving Universe

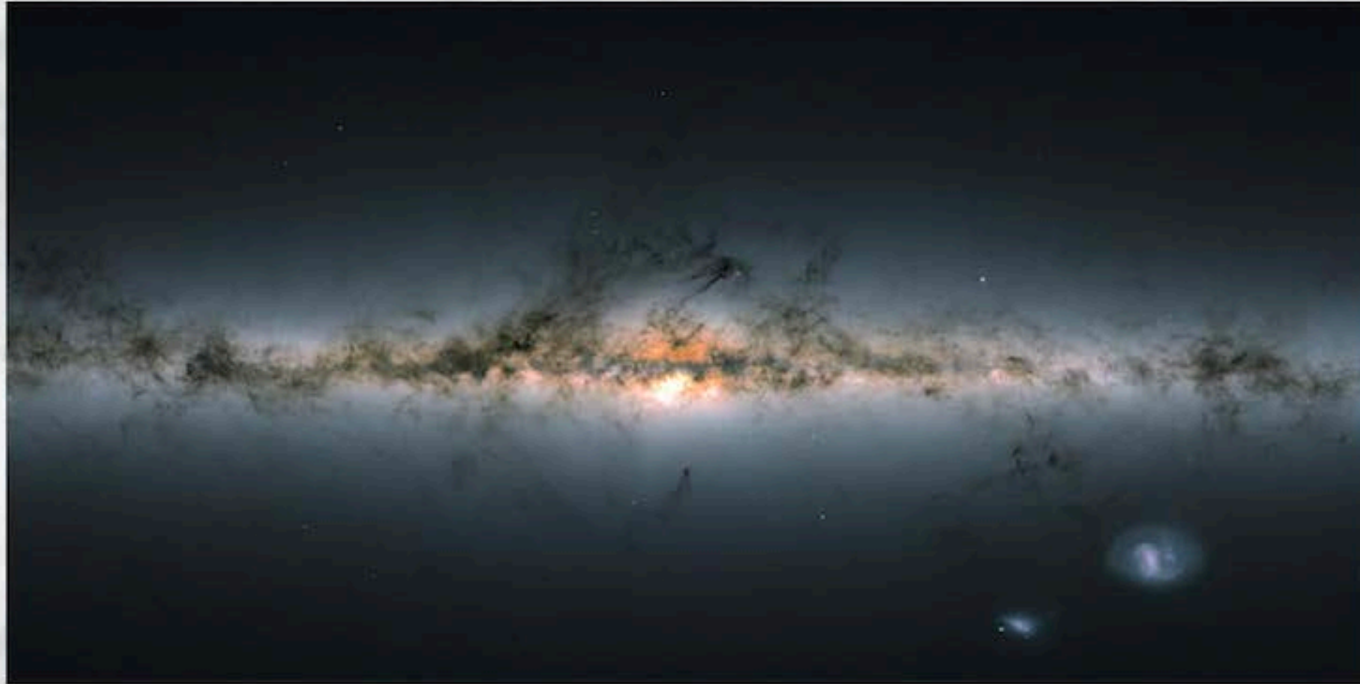
Spring 2021

Prof. S. George Djorgovski

<https://sites.astro.caltech.edu/ay1>

Some Class Logistics

- Class website: <https://sites.astro.caltech.edu/ay1> for the lectures (videos, slides), announcements, links.
Canvas website for the assignments (homework, exams)
- A weekly summary lecture: Mondays 2-3 pm, on Zoom
 - Videos of the lectures from the previous years are linked on the class website, along with the pdfs of the slides. Along with posted readings and links, *that is your “textbook”*
 - You can supplement them with *any* intro astronomy textbook you like, and our Library has a plenty of them, some of them available on line (see the website)
- Sections (mandatory!): Friday 2-3 pm on Zoom
- Weekly homework, except for the midterm and final weeks
- **Ask questions!**



Home

About the class

Class times+location

The Evolving Syllabus

Homeworks + exams

Recitation

Useful links

Videos (YouTube)

Facebook group

Lectures

Welcome to the Ay 1 - The Evolving Universe!

Please explore the links on the left.

Announcements:

- March 29: Welcome to the class! Please explore the links to the left. All lectures, slides, etc., will be posted here. All homeworks, solutions, and exams will be handled through the Canvas site. The first, introductory/summary lecture will be today, 2-3 pm; see the "times + location" tab.

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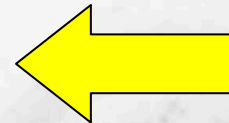
Lectures:

Lecture transcripts, in compressed folders: ([pdf](#)) * ([txt](#)). These are imperfect, but you may find them useful.

Lecture 1: Astronomy as a science

Some early history. Astronomy as a quantitative science, and as a branch of physics. Types of observations and their intrinsic limitations.

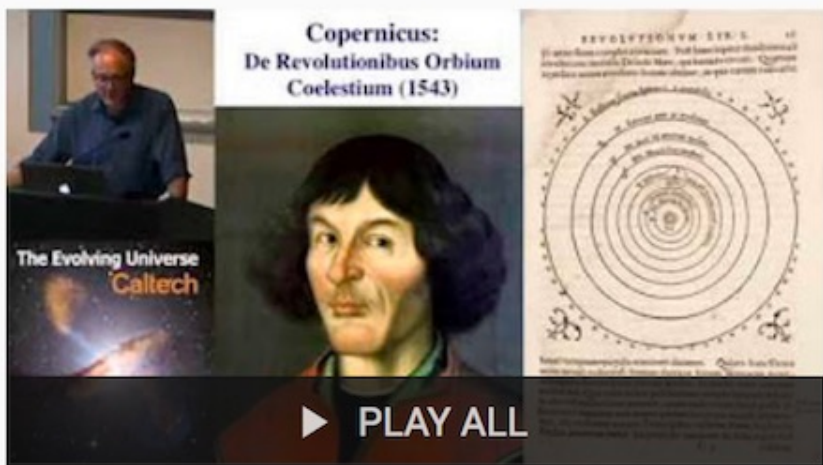
- [Slides \(pdf\)](#)
- Lecture videos:
 - [Module 1.0: Introduction and Logistics](#)
 - [Module 1.1: The Oldest Science](#)
 - [Module 1.2: Astronomy as a Science](#)
 - [Module 1.3: Messengers from the Universe](#)



Lecture 2: Starting the Exploration

Some common units. Distances and parallaxes. An overview of scales and

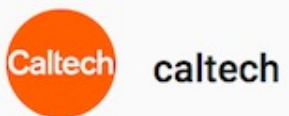
Watch them on YouTube



Ay1001x - The Evolving Universe - George Djorgovski

90 videos • 10,351 views • Last updated on Aug 11, 2017

Unlisted



- 1 **Some Class Logistics**
 Ay1001x - Module 1.0
 caltech
6:34
- 2 **Copernicus: De Revolutionibus Orbium Coelestium (1543)**
 Ay1001x - Module 1.1
 caltech
6:31
- 3 **The Nature of the Astronomical Inquiry**
 Ay1001x - Module 1.2
 caltech
12:48
- 4 **Information Flows in the Universe**
 Ay1001x - Module 1.3
 caltech
21:18
- 5 **The Scale of the Solar System**
 Ay1001x - Module 2.1
 caltech
18:12



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Your teaching team

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Useful links

Videos (Echo360)

Videos (YouTube)

Facebook group

Lectures

Some useful links:

More will be added, and suggestions are always welcome.

On-line education/outreach resources:

- [Caltech astronomy outreach](#)
- [JPL \(many popular astronomy links\)](#)
- [The Library of Congress Astronomy Resources on the Internet](#)
- [Sky & Telescope Online Astronomy Links](#)
- [AstronomyOnline](#)
- [Imagine the Universe from the NASA GSFC](#)
- [CDS AstroWeb](#)
- [Griffith Observatory](#)
- [ispySpace.com](#)
- [StarDate](#)
- [PBS Astronomy Programs](#)
- [Popular astronomy links from UH/IfA](#)
- [Cornell astronomy links](#)
- [APOD educational links](#)

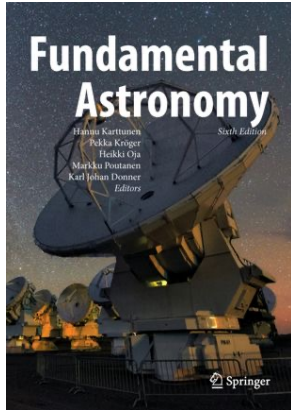
Pretty pictures:



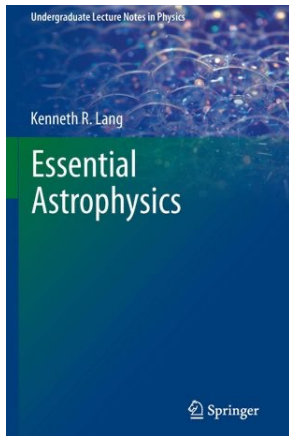
On-line Textbooks

Accessible from the caltech.edu domain

These can be used to *supplement* the classroom lectures (videos, ppts, links)



Karttunen, H., et al., "Fundamental Astronomy"



Lang, K., "Essential Astrophysics"



Fraknoi, A., Morrison, D., & Wolff, S., "Astronomy",
[may be too elementary for this class]

Use the *WorldWide Telescope*

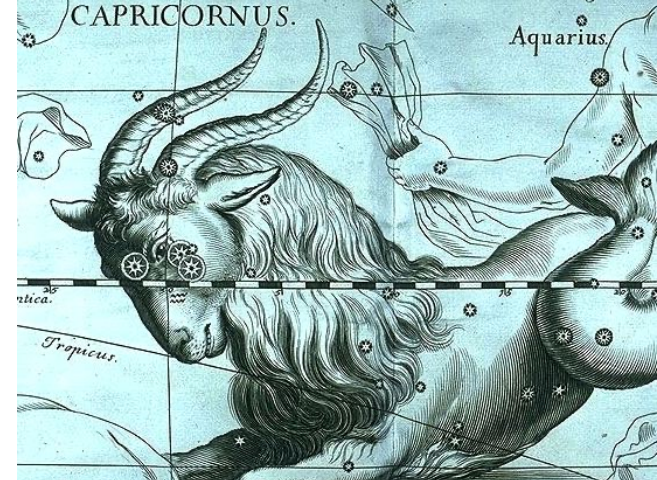
<http://www.worldwidetelescope.org/webclient/>

A great sky browser – try it!

The screenshot displays the WorldWide Telescope web client interface. At the top, a navigation bar includes tabs for "Explore", "Guided Tours", "Search", "View", and "Settings". Below this, a "Collections" menu shows "Messier Catalog" with a right-pointing arrow. A row of 11 thumbnail images represents galaxies M45 through M55. The main viewing area is dominated by a large, detailed image of the Whirlpool Galaxy (M51), showing its characteristic blue and white spiral structure. At the bottom, a "Look At" panel is set to "Sky" with a "Digitized Sky Survey (Color)" filter. To the right of this panel is an "Info" icon. Below the main view, a row of 9 smaller thumbnails shows various galaxy images with labels: "Canes Venatici", "Out of This Whirl!", "Whirlpool Galaxy", "Whirlpool Galaxy C", "A Classic Beauty!", "M51; Whirlpool Gal.", "Whirlpool Galaxy a", "M51", and "NGC5194". On the far right, a small globe icon is labeled "N" and "Canes Venatici 00:14:04". Below the globe, the coordinates "RA : 13h29m52s" and "Dec : -47:12:42" are displayed. A "1 of 2" navigation indicator is also present.

The Evolution of Astronomy

- From astrology to classical astronomy (~ positional astronomy and celestial mechanics) to astrophysics
- A strong and growing connection with physics, starting with Newton ... Today astronomy is one of the most exciting branches of physics
- Many important developments happened in Pasadena (Hale, Hubble, Zwicky, Baade, Minkowski, Sandage, ...)



How is Astronomy Possible?

The universe is really, really big,
and we cannot experiment in the
lab with any of the objects in it

But we can use...

Data



+

Logic (~ math)



= Scientific method

... and use physics as an
interpretative framework

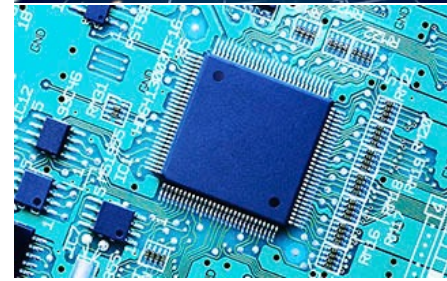
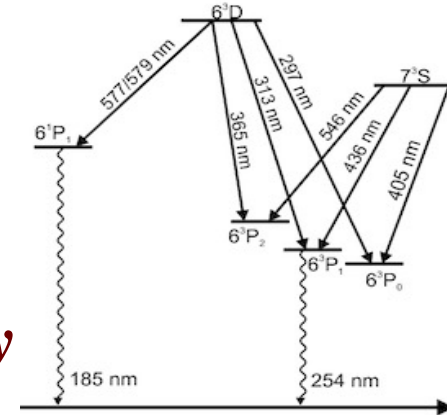
The Nature of the Astronomical Inquiry

- The peculiar nature of astronomy as a science
 - Is it like history? Geology? Paleontology? (are there extinct species of astronomical objects?)
 - Observing vs. experiments, and repeatability
 - A single object of study: universe as a whole, CMB....
But the experiments are repeatable
 - Non-repeatable phenomena, e.g., SNe, GRBs, microlensing events... But there are *classes* of them
- Observing a narrow time-slice of the past light cone
 - Using symmetry principles (Copernican, cosmological) as a substitute for unobtainable information
 - t (astronomy) \ll t (universe) \rightarrow inevitable biases
- Deducing the past it from the “fossil” information (e.g., galaxy formation and evolution)



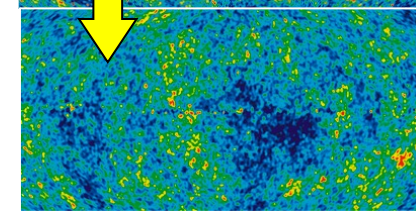
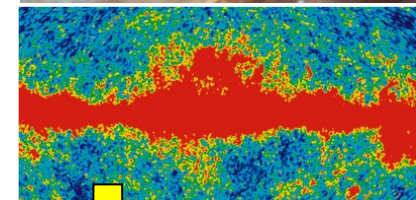
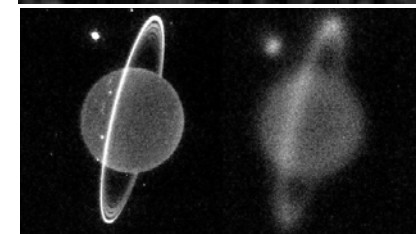
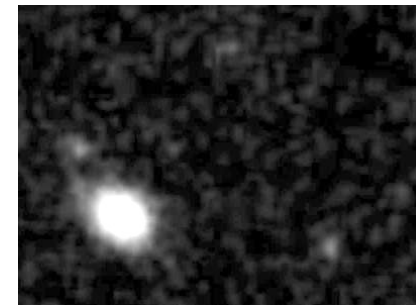
Astronomy as a Branch of Physics

- *Using the apparatus of physics* to gather and interpret the data: assume that our physics is universal (and we can test that!)
- Astronomical phenomena as a *cosmic laboratory*
 - Relativistic physics (black holes, gravitational waves, jets, gravitational lensing ...)
 - Cosmic accelerators (high energy cosmic rays, neutrinos) and the early universe
 - Matter in extreme conditions (e.g., neutron stars, GRBs, high & low density plasmas ...)
- *Astronomical discoveries as a gateway to the new physics* (e.g., dark matter and dark energy; neutrino mixing; inflation; etc.)
- *Progress is driven by the technology* (optics, detectors, spacecraft, computing...)



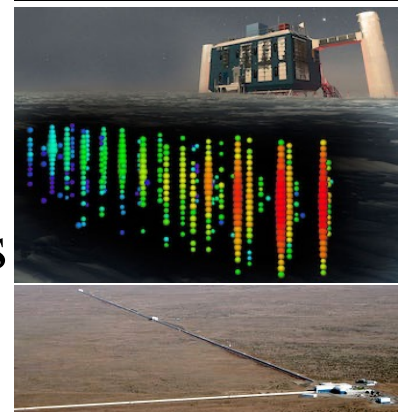
Fundamental Limits to Measurements and Selection Effects

- S/N Poissonian and quantum limits of detection
- Geometrical optics limits of angular resolution
- Turbulence of the atmosphere/ISM: erasing the spatial information
- Opacity of the Earth's atmosphere and the Galactic interstellar medium
- Obscuration by dust in galaxies
- Convolved backgrounds and foregrounds (example: Cosmic Microwave Background)
- And the “un-natural” limits: politics, funding, social psychology ...

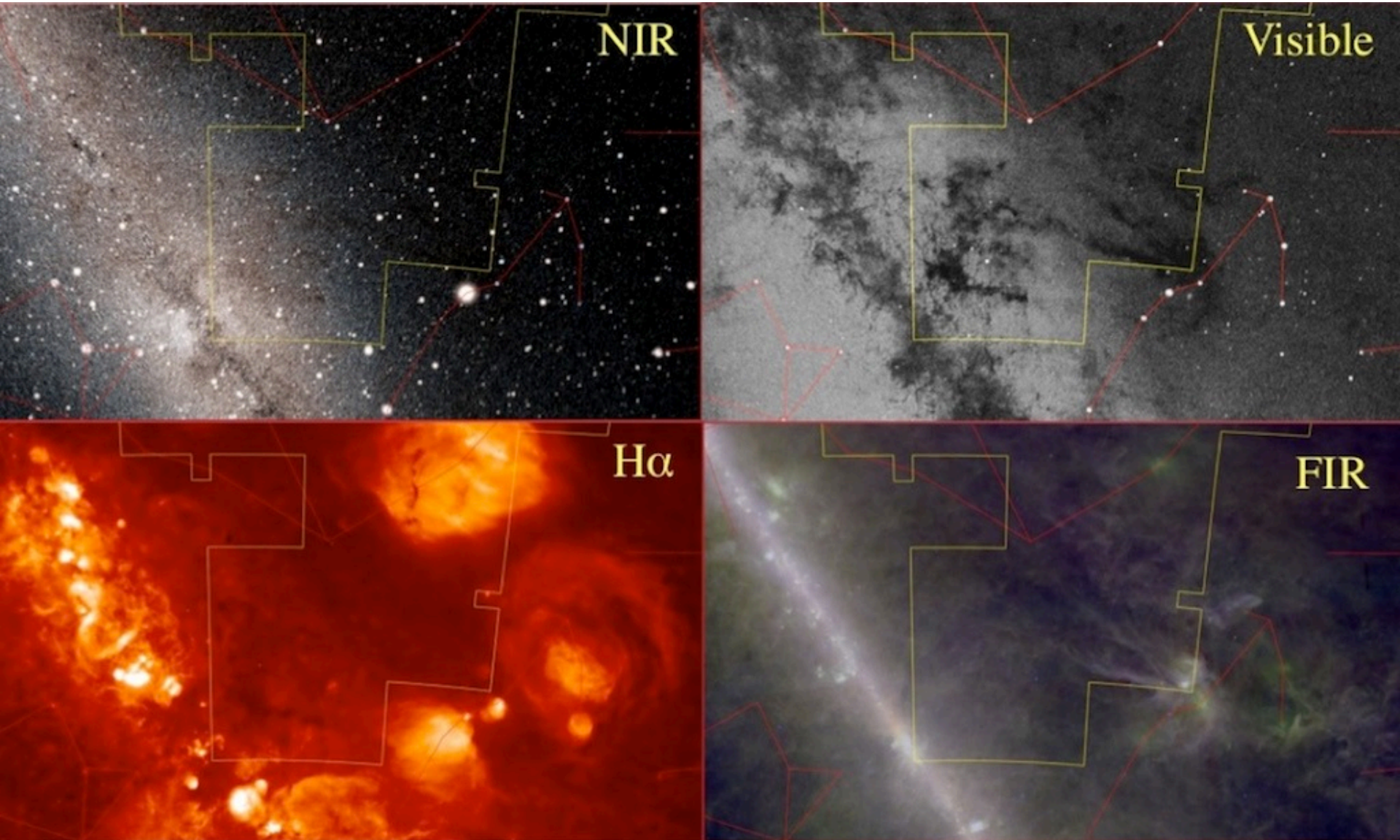


Information Channels in Astronomy

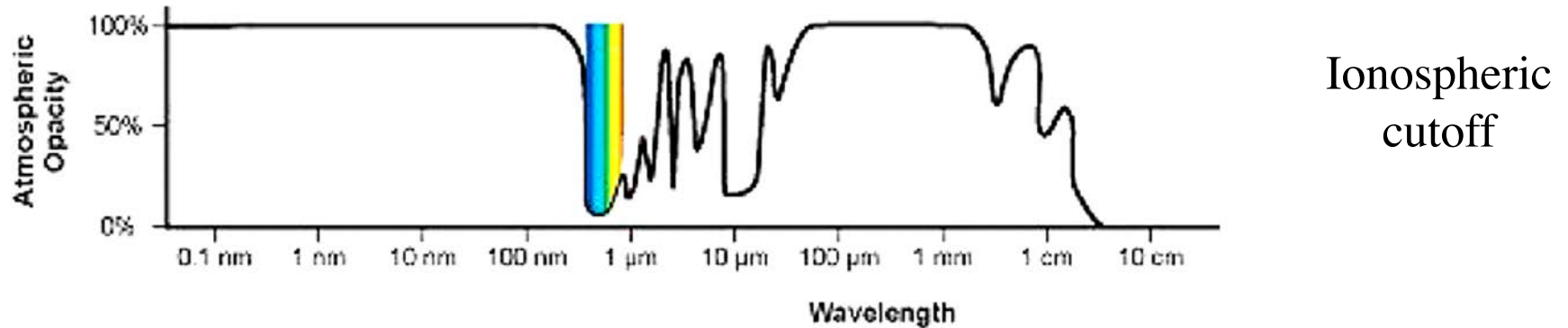
- Mostly electromagnetic! Methodologies:
 - Single-channel photometry
 - 2D imaging (photometry, morphology, positions/motions)
 - 1D spectroscopy
 - 2D (long-slit) spectroscopy
 - 3D data cubes (2 spatial + 1 spectro)
 - All can include polarimetry
 - All can be time-resolved (synoptic)
 - Can be single-dish, or interferometric
- Particles:
 - Cosmic rays: Cherenkov, particle detectors ...
 - Neutrinos: big tanks of something, IceCube ...
- Gravitational Waves: LIGO/LISA interferometers
- Dark Matter: lab detectors, gravitational lensing



The Panchromatic Universe



Atmospheric Transmission Windows



And that is why we need space observatories!

But there is an even more profound limitation:

The Galactic “atmosphere” - the interstellar medium - also absorbs very long wavelengths, and hard UV / soft X-rays (the interstellar fog); and of course the dust absorbs the blue/UV light (the interstellar smog).

This may be very important: perhaps 90% of the baryons in the universe are in the form of a “warm” ($T \sim 10^5$ K) gas, which emits mostly soft X-rays

Some Commonly Used Units

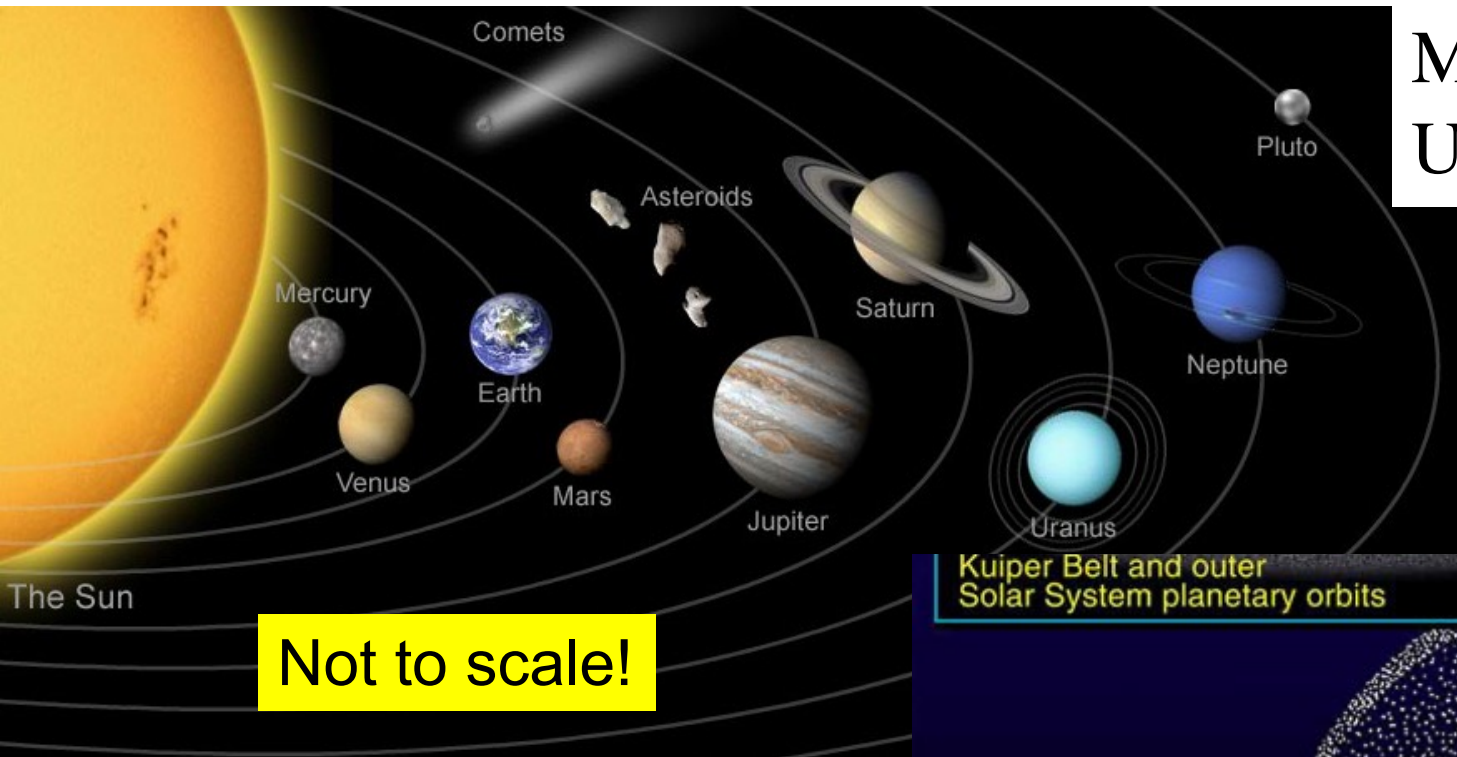
- **Distance:**

- Astronomical unit: the distance from the Earth to the Sun, $1 \text{ au} = 1.496 \times 10^{13} \text{ cm} \sim 1.5 \times 10^{13} \text{ cm}$
- Light year: $c \times 1 \text{ yr}$, $1 \text{ ly} = 9.463 \times 10^{17} \text{ cm} \sim 10^{18} \text{ cm}$
- Parsec: the distance from which 1 au subtends an angle of 1 arcsec,
 $1 \text{ pc} = 3.086 \times 10^{18} \text{ cm} \sim 3 \times 10^{18} \text{ cm}$
 $1 \text{ pc} = 3.26 \text{ ly} \sim 3 \text{ ly}$
 $1 \text{ pc} = 206,264.8 \text{ au} \sim 2 \times 10^5 \text{ au}$

- **Mass and Luminosity:**

- Solar mass: $1 M_{\odot} = 1.989 \times 10^{33} \text{ g} \sim 2 \times 10^{33} \text{ g}$
- Solar luminosity: $1 L_{\odot} = 3.826 \times 10^{33} \text{ erg/s} \sim 4 \times 10^{33} \text{ erg/s}$

The Scale of the Solar System



Major planets:
Up to ~ 50 au

The Oort cloud: ~ 1000 au

Kuiper Belt and outer
Solar System planetary orbits

The Oort Cloud
(comprising many
billions of comets)

*Oort Cloud cutaway
drawing adapted from
Donald K. Yeoman's
illustration (NASA, JPL)*

Stellar Distances

Nearest stars ~ a few pc



Naked eye visible stars
~ up to a kpc

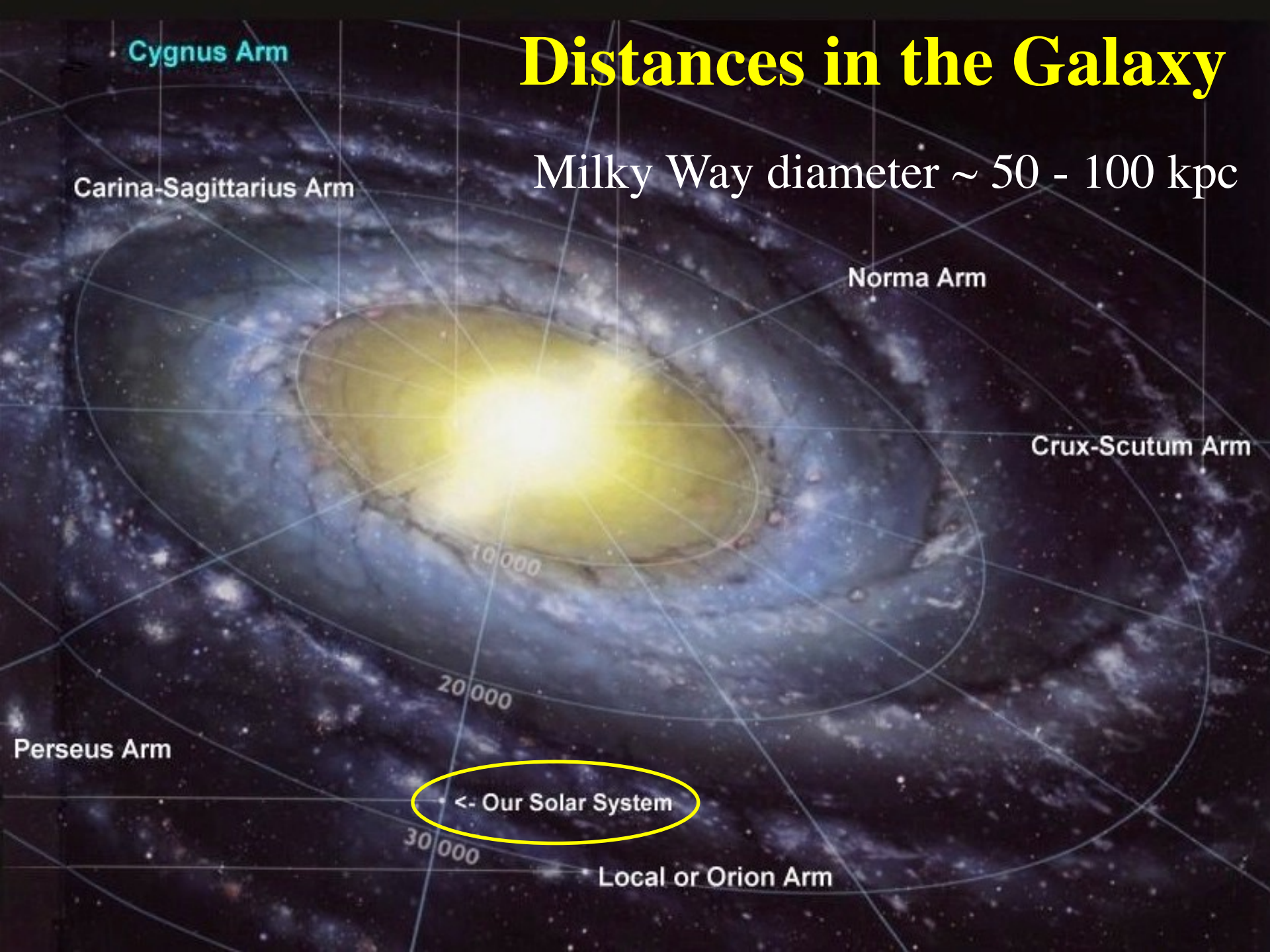


Globular clusters ~ few kpc



Distances in the Galaxy

Milky Way diameter $\sim 50 - 100$ kpc



Our Extragalactic Neighborhood



Magellanic
Clouds ~ 50 kpc

The image shows the Magellanic Clouds, two satellite galaxies of the Milky Way. The Large Magellanic Cloud is on the left, and the Small Magellanic Cloud is on the right. They are both irregular in shape and contain numerous stars and interstellar dust. The background is a dense field of stars.



Andromeda galaxy
(M31) ~ 700 kpc

The image shows the Andromeda galaxy (M31), a large spiral galaxy located in the constellation Andromeda. It is the nearest major galaxy to the Milky Way. The galaxy is tilted and shows a bright central core and a prominent spiral structure. The background is a field of stars.



Virgo cluster
~ 16 Mpc

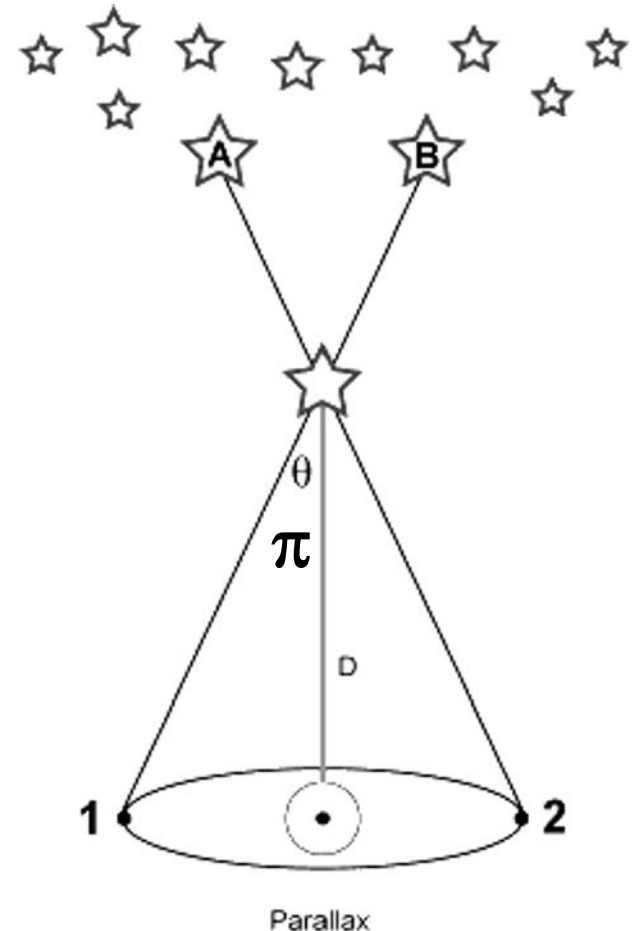
The image shows the Virgo cluster, a large group of galaxies located in the constellation Virgo. It is the nearest galaxy cluster to the Milky Way. The cluster contains many galaxies of various types, including spirals and ellipticals. The background is a field of stars.

The Deep Universe: $\sim 1 - 10$ Gpc



Distances and Parallaxes

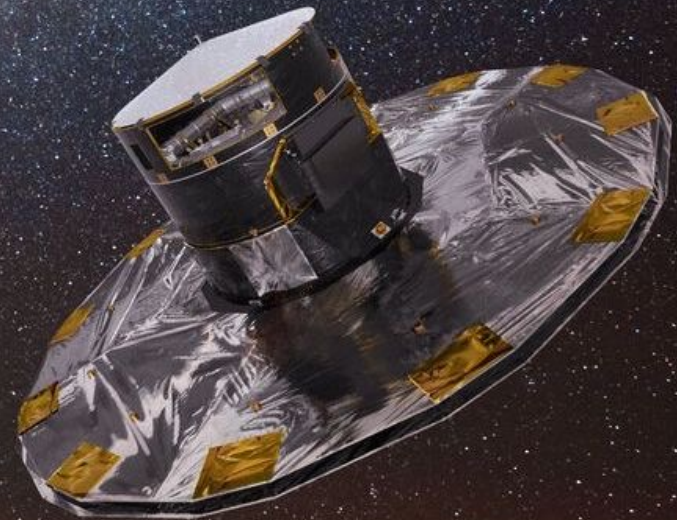
- Distances are necessary in order to convert apparent, measured quantities into absolute, physical ones (e.g., luminosity, size, mass...)
- Stellar parallax is *the only* direct way of measuring distances in astronomy! Nearly everything else provides relative distances and requires a basic calibration
- Small-angle formula applies:
$$D \text{ [pc]} = 1 / \pi \text{ [arcsec]}$$
- Limited by the available astrometric accuracy (~ 1 mas, i.e., $D < 1$ kpc or so, now)



How Far Can We Measure Parallaxes?

***Gaia* satellite** (launched 2013) is measuring the positions and proper motions of $\sim 2 \times 10^9$ stars over the entire sky with an accuracy < 0.1 milliarcsec (distances ~ 10 kpc, i.e., most of the Milky Way!) + a lot of other data. It is revolutionizing the stellar and Galactic astronomy.

<https://sci.esa.int/web/gaia>



Kepler's Laws:



1. The orbits of planets are elliptical, with the Sun at a focus
2. Radius vectors of planets sweep out equal areas per unit time
3. Squares of orbital periods are proportional to cubes of semimajor axes:

$$P^2 [\text{yr}] = a_{\text{pl}}^3 [\text{au}]$$

- Derived empirically from Tycho de Brahe's data
- Explained by the Newton's theory of gravity

Newton's Laws

1. Inertia...
 2. Force: $F = m a$
 3. $F_{\text{action}} = F_{\text{reaction}}$
- } → Conservation laws (E, p, L)
- e.g., for a circular motion in grav. field:
centrifugal force = centripetal force

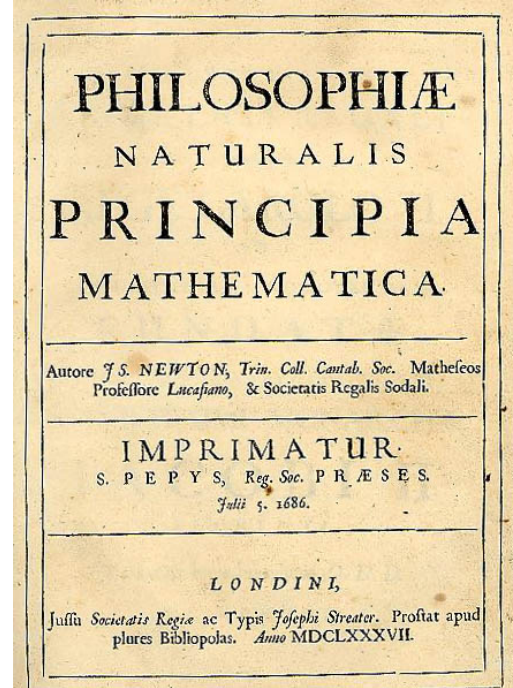
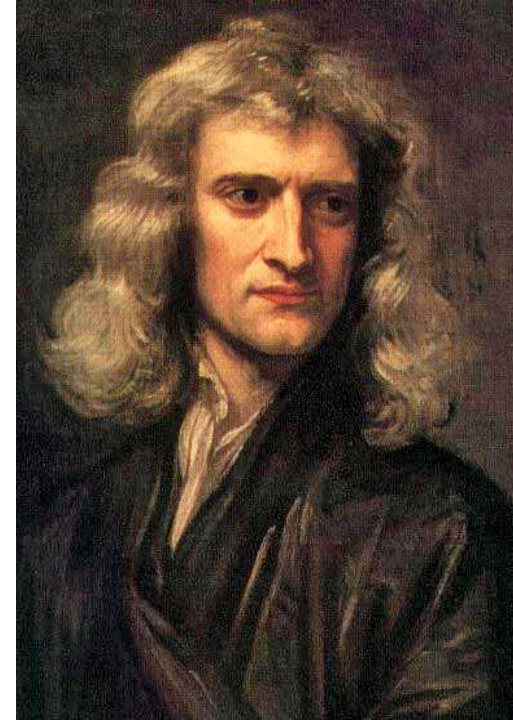
$$\frac{m V^2}{R} = G \frac{m M}{R^2}$$

- The law of gravity: $F = G \frac{m_1 m_2}{r^2}$

- Energy: $E_{\text{total}} = E_{\text{kinetic}} + E_{\text{potential}}$

$$\frac{m V^2}{2} \quad \leftarrow \quad \frac{G m M}{R} \quad \leftarrow \quad (\text{gravitational})$$

- Angular momentum: $L = m V R$ (point mass)

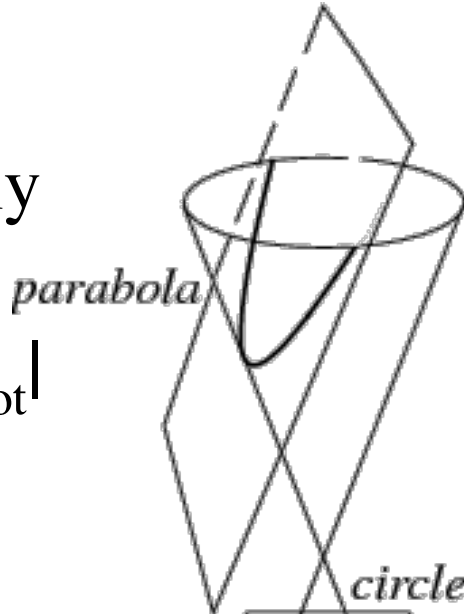


Motions in a Gravitational Field

- Motions of two particles interacting according to the inverse square law are conic sections:

Marginally
bound:

$$E_{\text{kin}} = |E_{\text{pot}}|$$

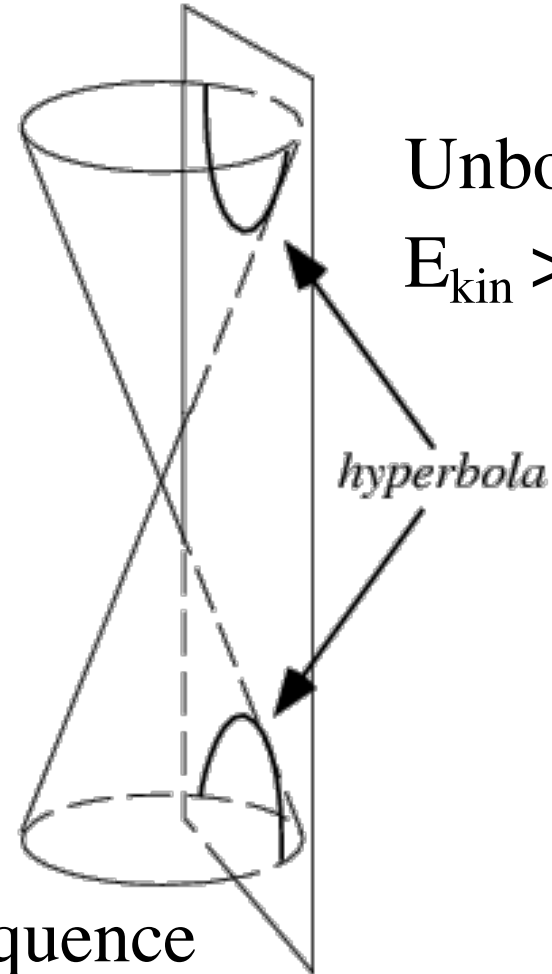


Bound:

$$E_{\text{kin}} < |E_{\text{pot}}|$$



Unbound:
 $E_{\text{kin}} > |E_{\text{pot}}|$



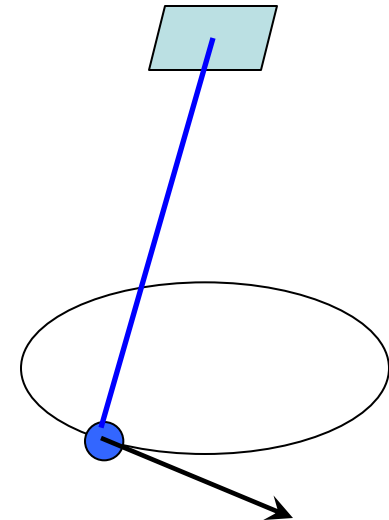
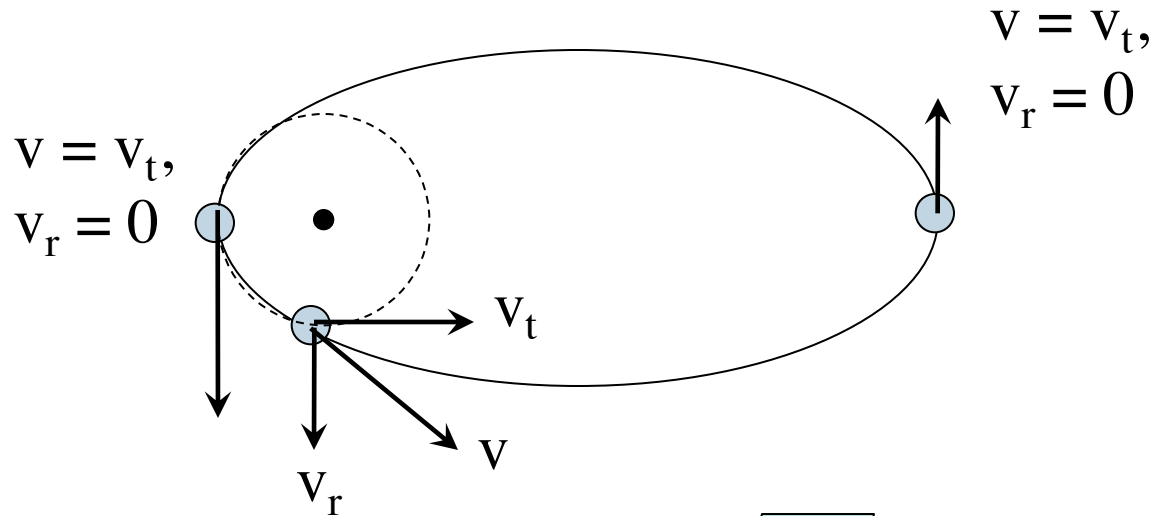
- Kepler's 1st law is a direct consequence

Why Ellipses?

A rigorous derivation (in polar coordinates) is a bit tedious, but we can have a simple intuitive hint:

Decompose the total velocity v into the radial (v_r) and tangential (v_t) components

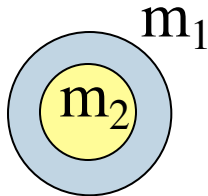
Consider the total motion as a synchronous combination of a radial and circular harmonic oscillator (recall that the period does not depend on the amplitude)



Orbit Sizes and Shapes

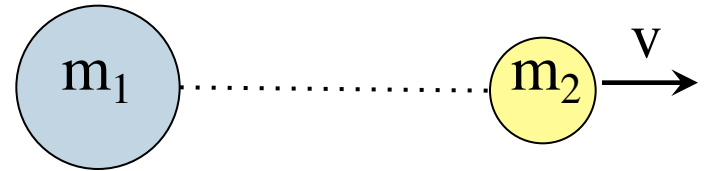
- For bound (elliptical) orbits, the *size* (semimajor axis) depends on the total energy:

$$E_{\text{kin}} = 0, R = 0$$



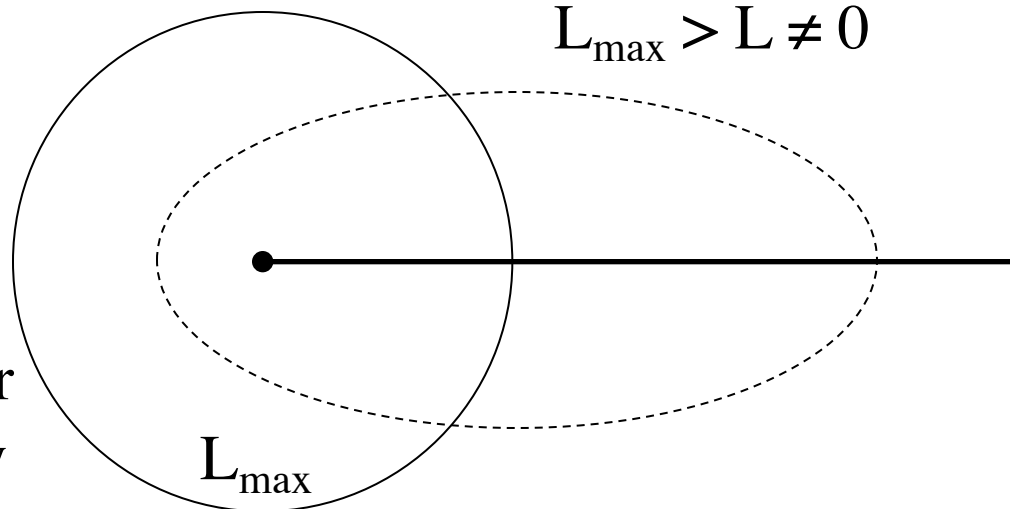
$$E_{\text{kin}} = |E_{\text{pot}}|, R \rightarrow \infty$$

$$E_{\text{kin}} \rightarrow |E_{\text{pot}}|$$



- The *shape* (eccentricity) of the orbit depends on the angular momentum:

Circular orbit:
maximum
angular
momentum for
a given energy



Radial orbit:
zero angular
momentum
 $L = 0$

Kepler's 2nd Law: A quick and simple derivation

Angular momentum, at any time: $L = M_{\text{pl}} V r = \text{const.}$

Thus: $V r = \text{const.}$ (this is also an “adiabatic invariant”)

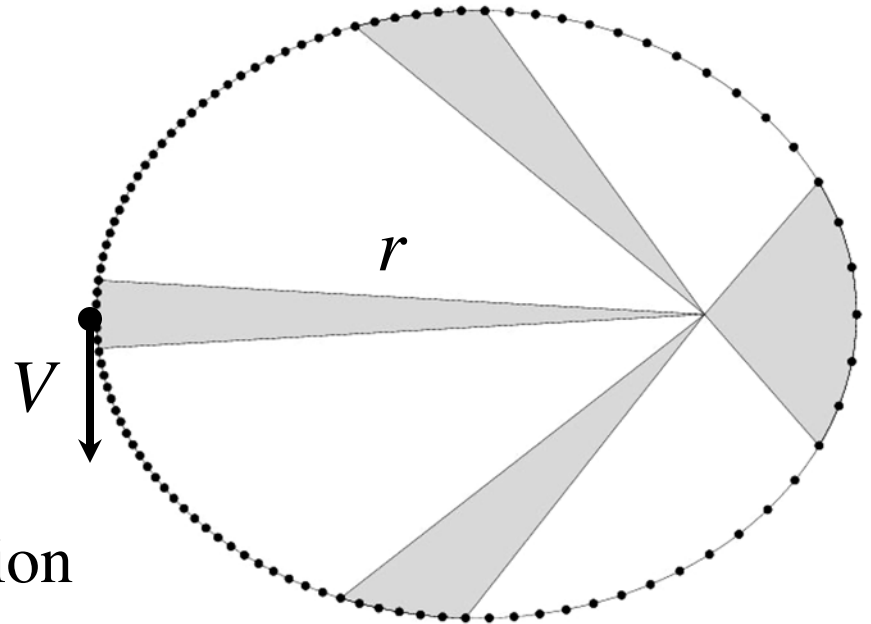
Element of area swept: $dA = V r dt$

Sectorial velocity: $dA/dt = V r = \text{const.}$

Independent of M_{pl} !

It is *a consequence of the conservation of angular momentum.*

Planets move slower at the aphelion and faster at the perihelion



Kepler's 3rd Law: A quick and simple derivation

$$F_{cp} = G M_{pl} M_{\odot} / (a_{pl} + a_{\odot})^2$$

$$\approx G M_{pl} M_{\odot} / a_{pl}^2$$

(since $M_{pl} \ll M_{\odot}$, $a_{pl} \gg a_{\odot}$)

$$F_{cf} = M_{pl} V_{pl}^2 / a_{pl}$$

$$= 4 \pi^2 M_{pl} a_{pl} / P^2$$

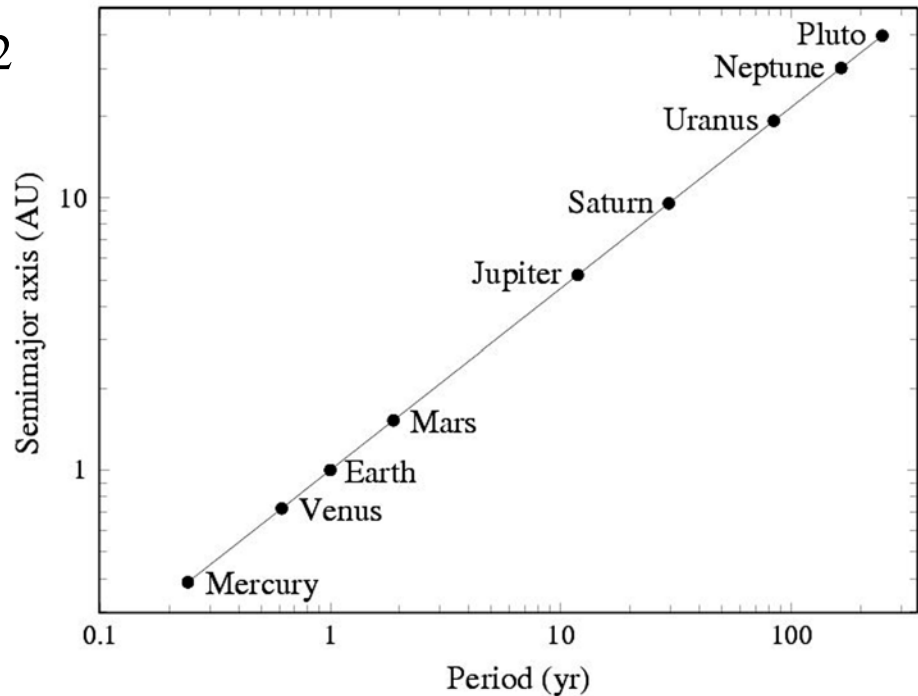
(since $V_{pl} = 2 \pi a_{pl} / P$)

$$F_{cp} = F_{cf} \rightarrow \boxed{4 \pi^2 a_{pl}^3 = G M_{\odot} P^2} \text{ (independent of } M_{pl} \text{ !)}$$

Another way: $E_{kin} = M_{pl} V_{pl}^2 / 2 = E_{pot} \approx G M_{pl} M_{\odot} / a_{pl}$

Substitute for V_{pl} : $4 \pi^2 a_{pl}^3 = G M_{\odot} P^2$

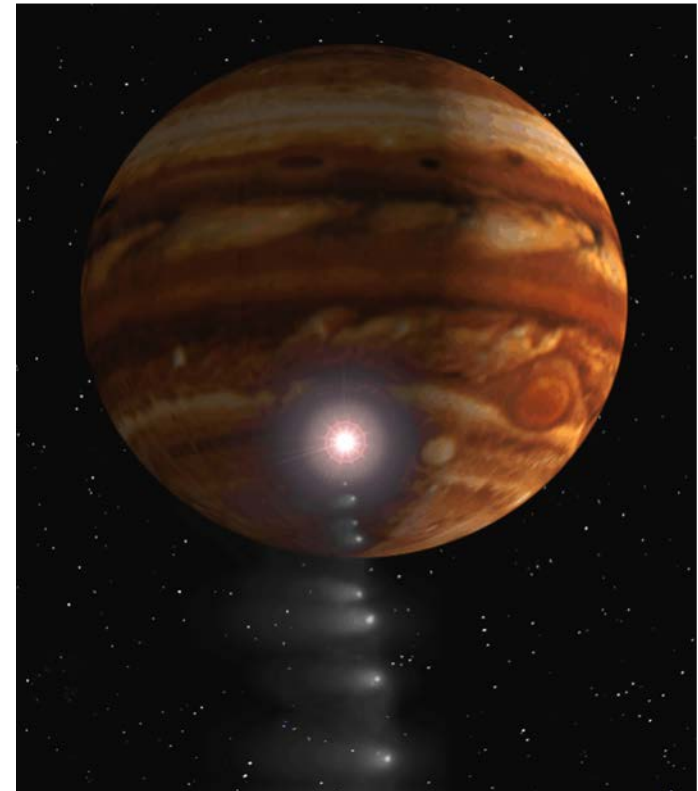
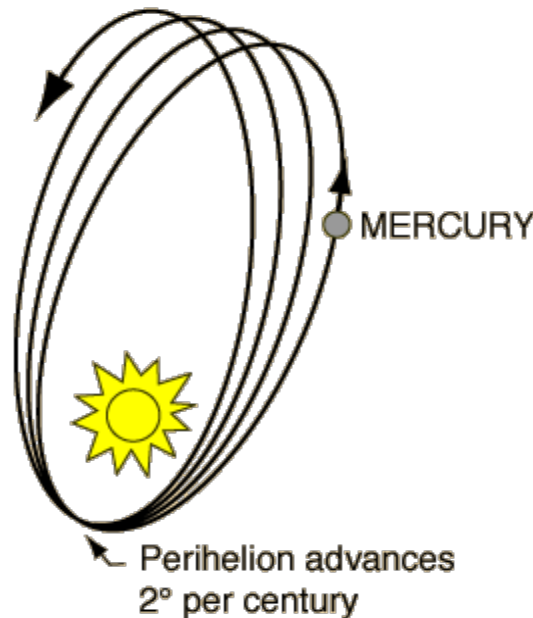
→ It is *a consequence of the conservation of energy*



It Is Actually A Bit More Complex ...

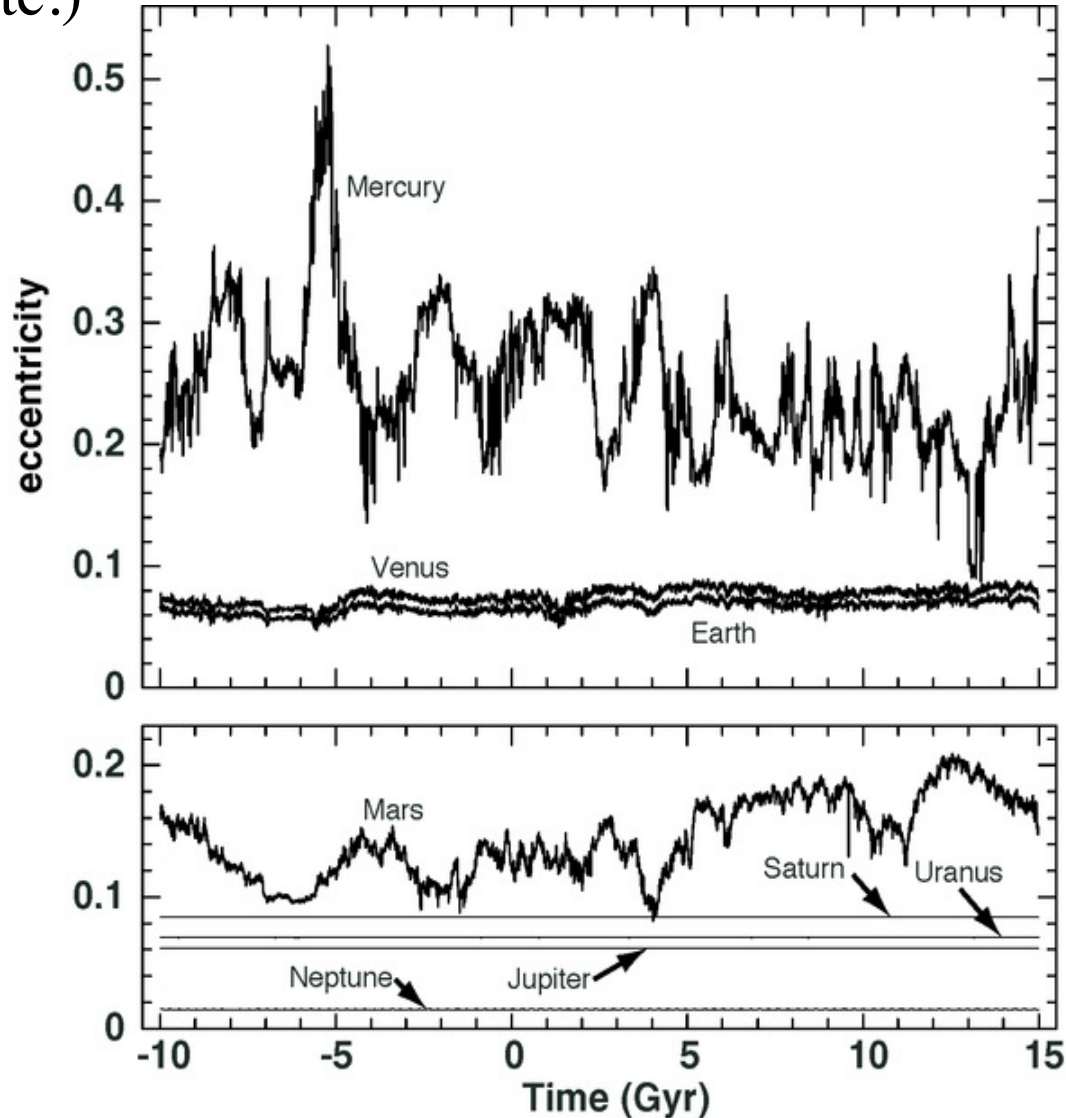
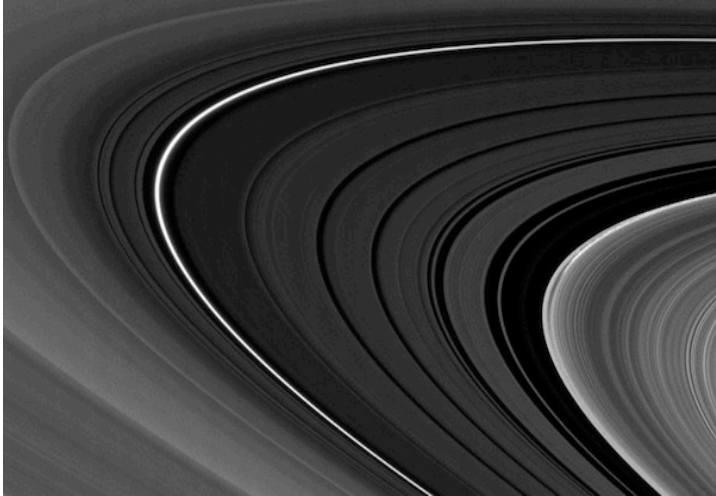
- Kepler's laws are just an approximation: we are treating the whole system as a collection of isolated 2-body problems
- There are *no analytical solutions* for a general problem with > 2 bodies! But there is a good *perturbation theory*, which can produce very precise, but always approximate solutions
 - Discovery of Neptune (1846)
 - Comet impacts on Jupiter

- Relativistic effects can be used to test theory of relativity (e.g., precession of Mercury's orbit)



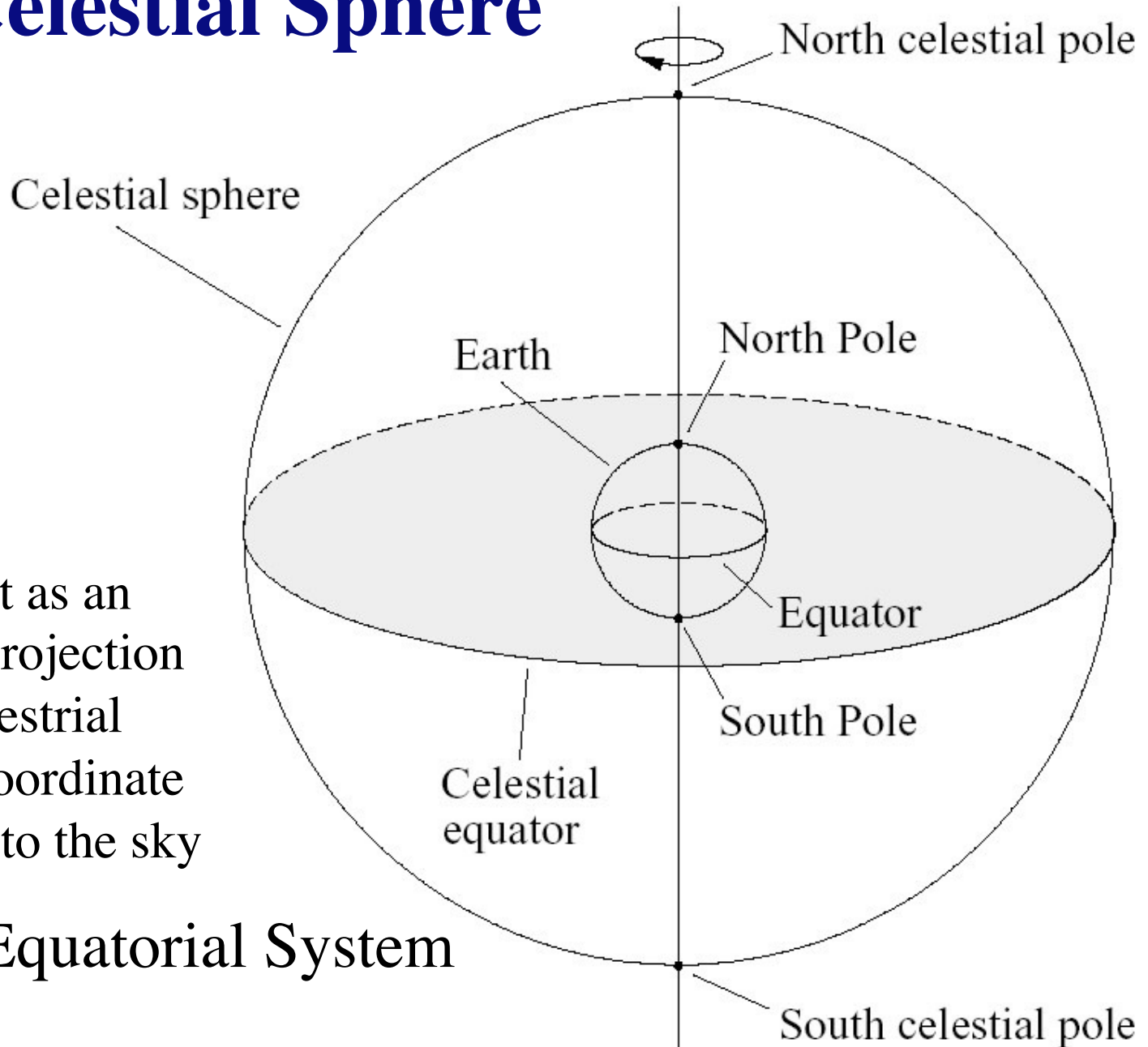
It Is Actually A *Lot* More Complex ...

- Dynamical resonances can develop (rotation/revolution periods, asteroids; Kirkwood gaps; etc.)



- If you wait long enough, more complex dynamics can occur, including dynamical chaos
(Is Solar System stable?)

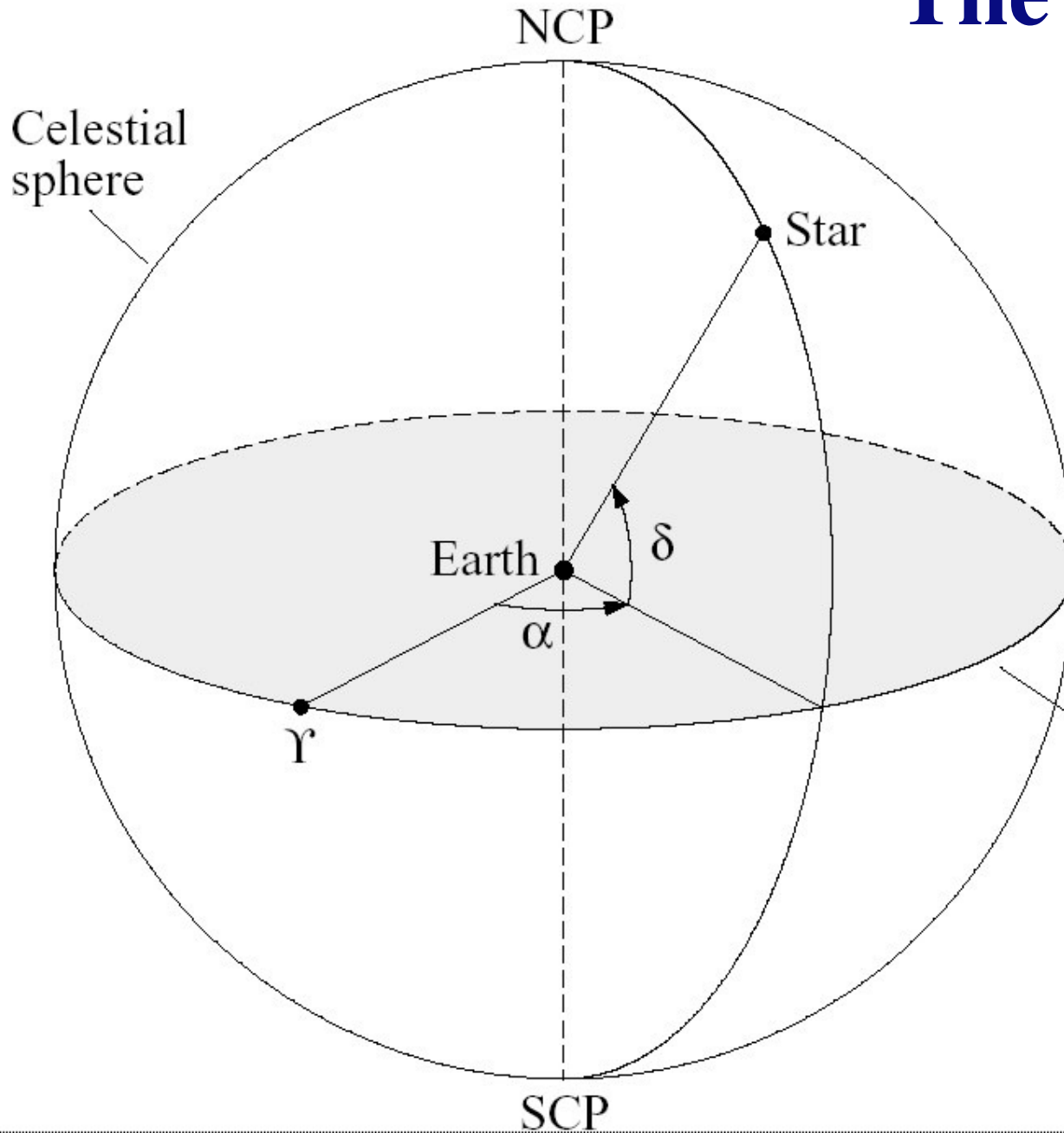
The Celestial Sphere



Think of it as an outward projection of the terrestrial long-lat coordinate system onto the sky

→ the Equatorial System

The Equatorial System



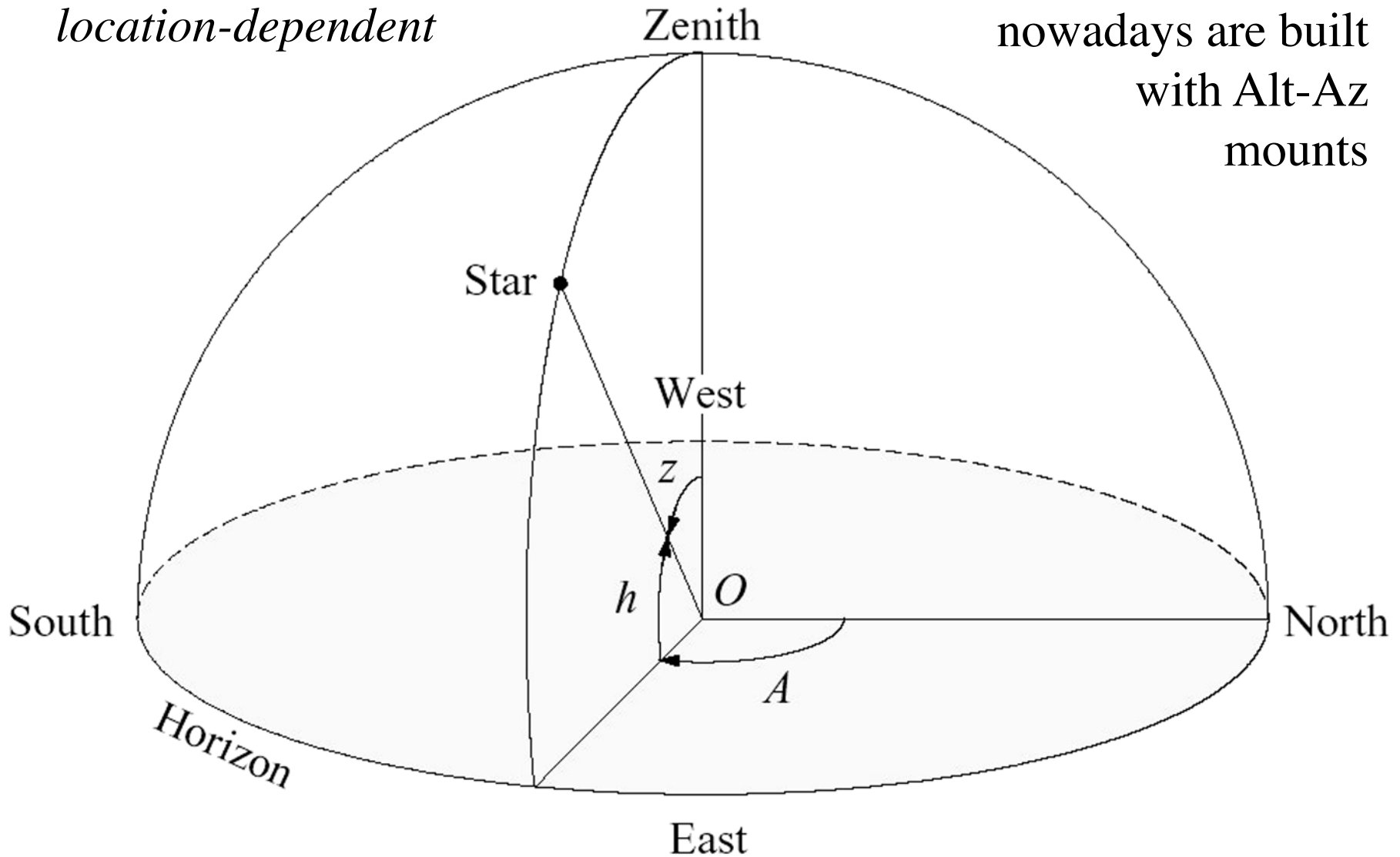
The coordinates are **Right Ascension** (RA, or α) and **Declination** (Dec, or δ), equivalent to the geographical longitude and latitude

RA = 0 defined by the Solar position at the Vernal Equinox

The Alt-Az Coordinate System

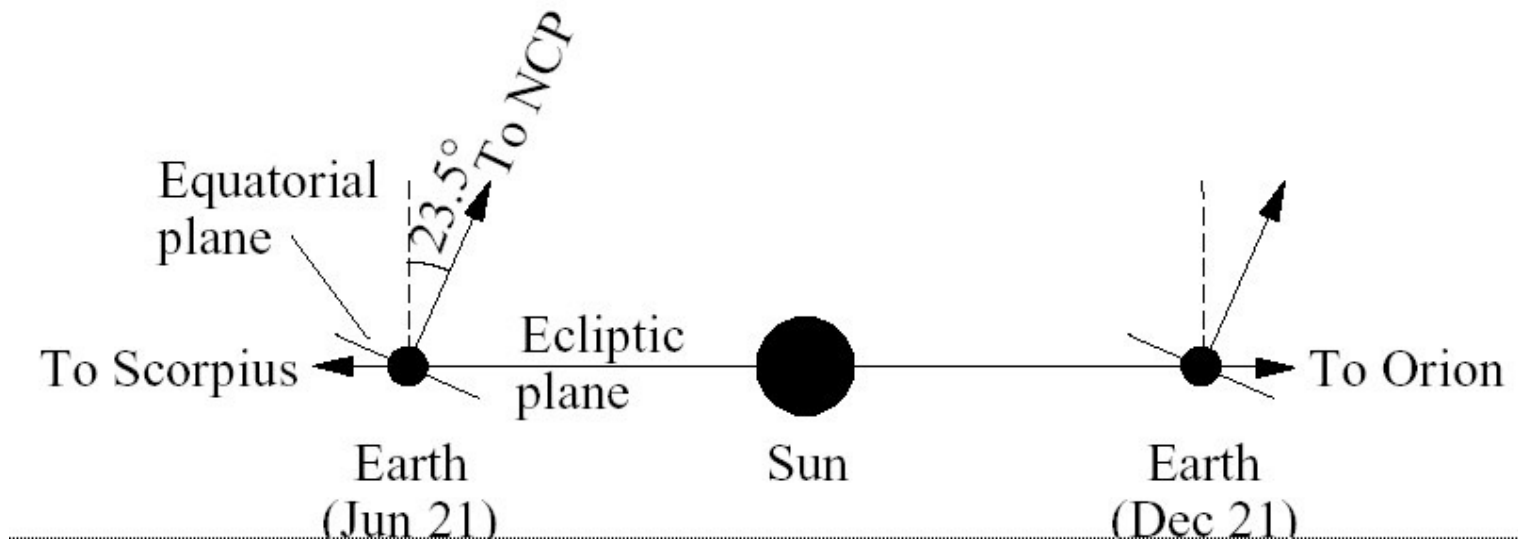
It is obviously
location-dependent

Most telescopes
nowadays are built
with Alt-Az
mounts



Other Common Celestial Coordinate Systems

Ecliptic: projection of the Earth's orbit plane defines the Ecliptic Equator. Sun defines the longitude = 0.

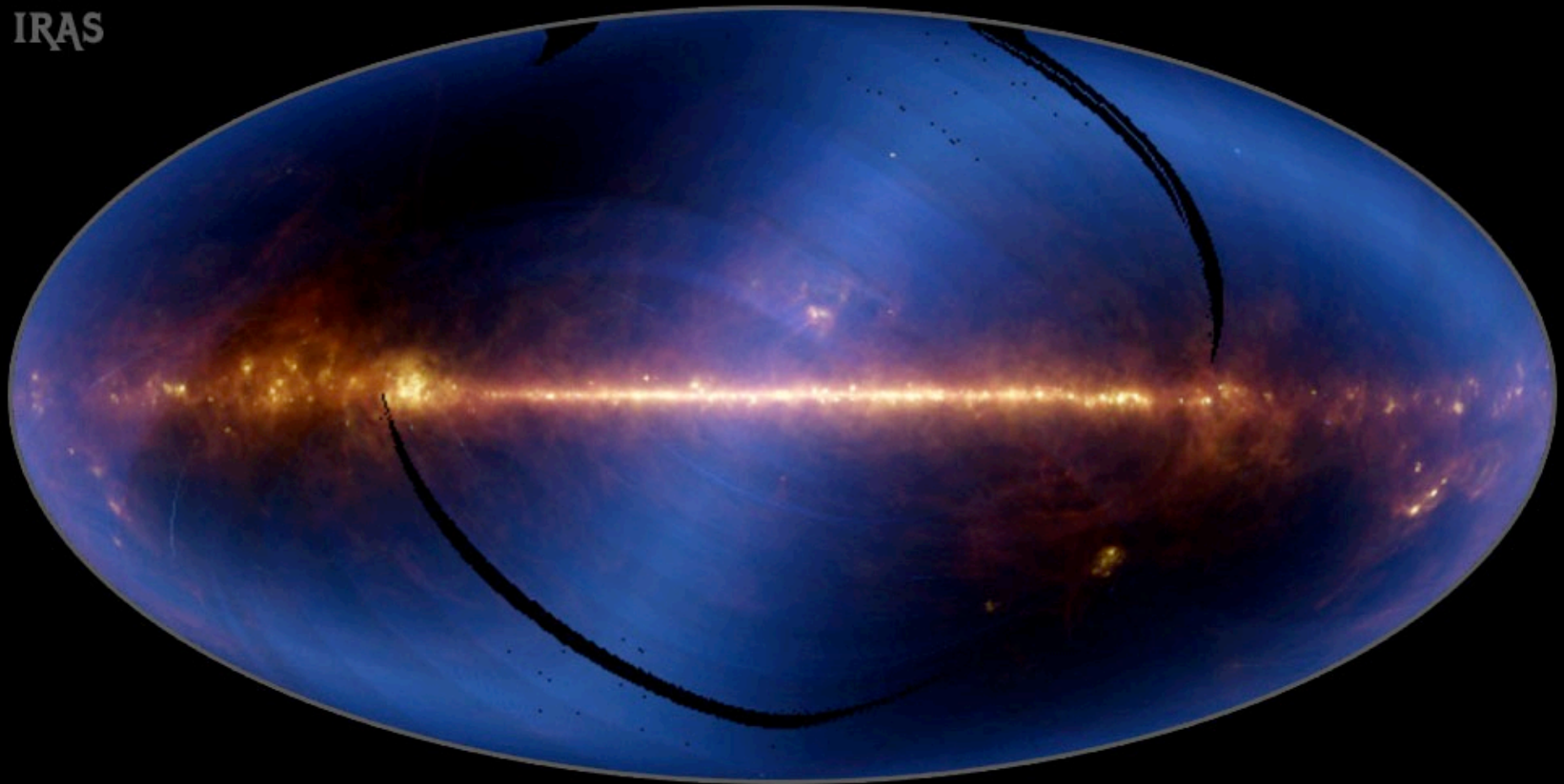


Galactic: projection of the mean Galactic plane is close to the agreed-upon Galactic Equator; longitude = 0 close, but not quite at the Galactic center. $(\alpha, \delta) \rightarrow (l, b)$

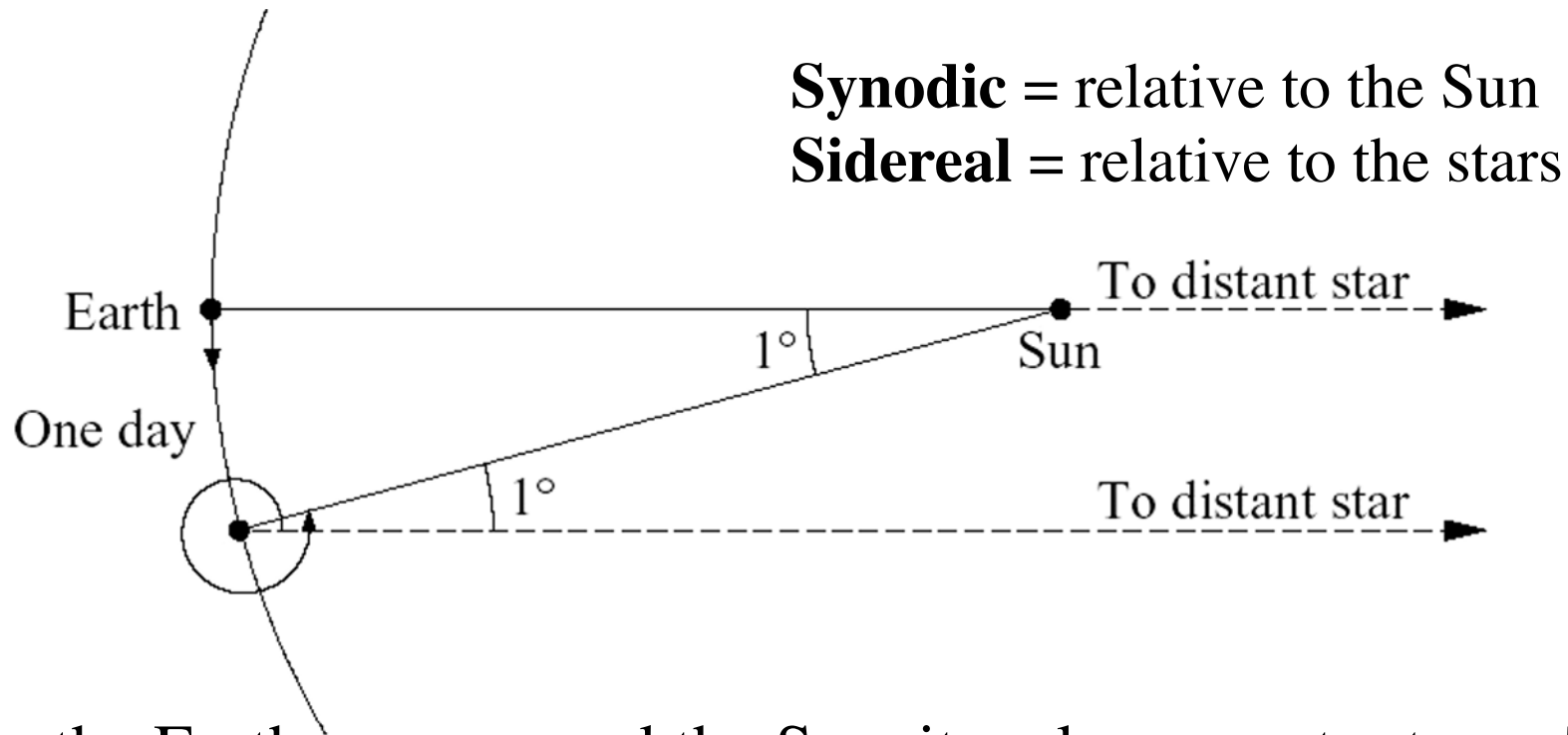
Ecliptic (Blue) and Galactic Plane (Red)

InfraRed Sky

IRAS



Synodic and Sidereal Times



As the Earth goes around the Sun, it makes an extra turn. Thus:

Synodic/tropical year = 365.25 (solar) days

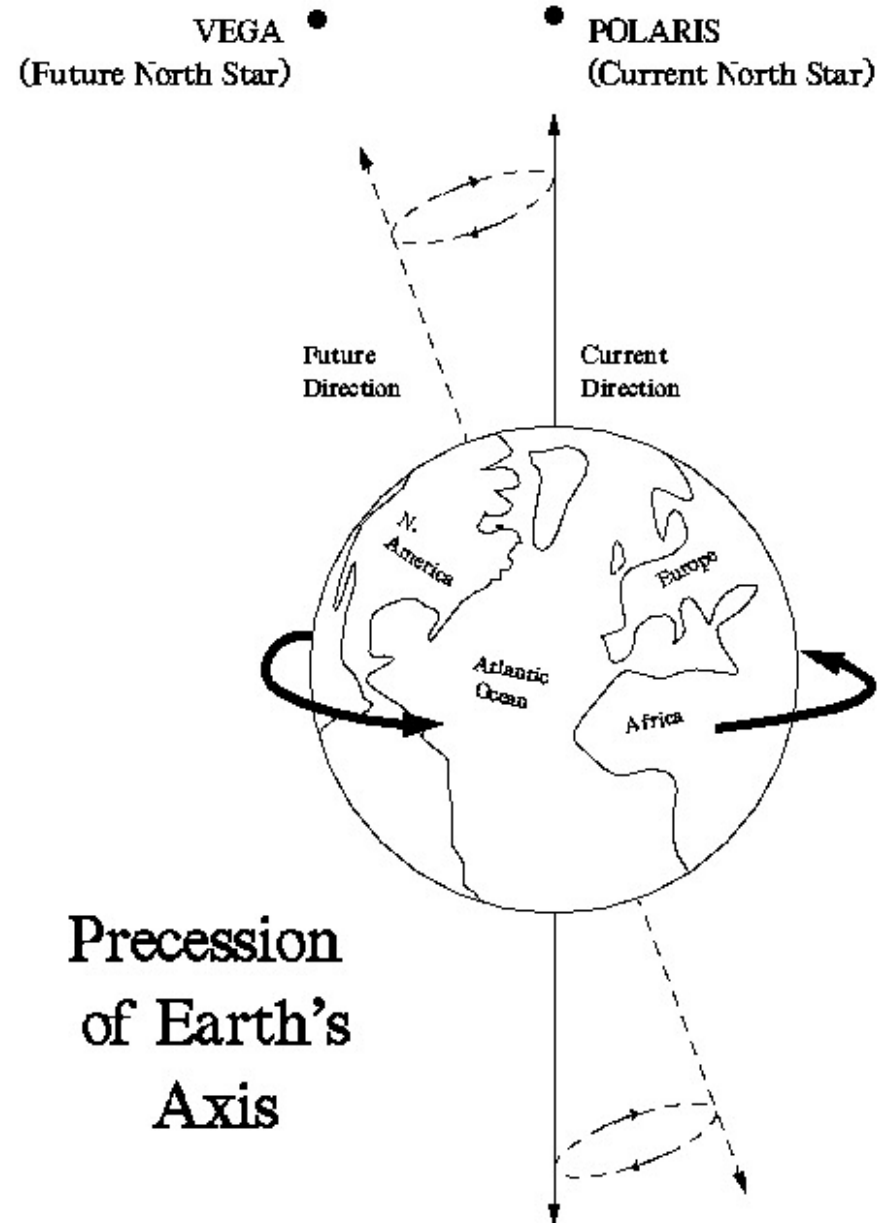
Sidereal year = 366.25 sidereal days = 365.25 solar days

Universal time, UT = relative to the Sun, at Greenwich

Local Sidereal Time (LST) = relative to the celestial sphere
= RA now crossing the local meridian (to the South)

The Precession of the Equinoxes

- The Earth's rotation axis precesses with a period of $\sim 26,000$ yrs, caused by the tidal attraction of the Moon and Sun on the the Earth's equatorial bulge
- There is also *nutation* (wobbling of the Earth's rotation axis), with a period of ~ 19 yrs
- Coordinates are specified for a given **equinox** (e.g., B1950, J2000) and sometimes **epoch**

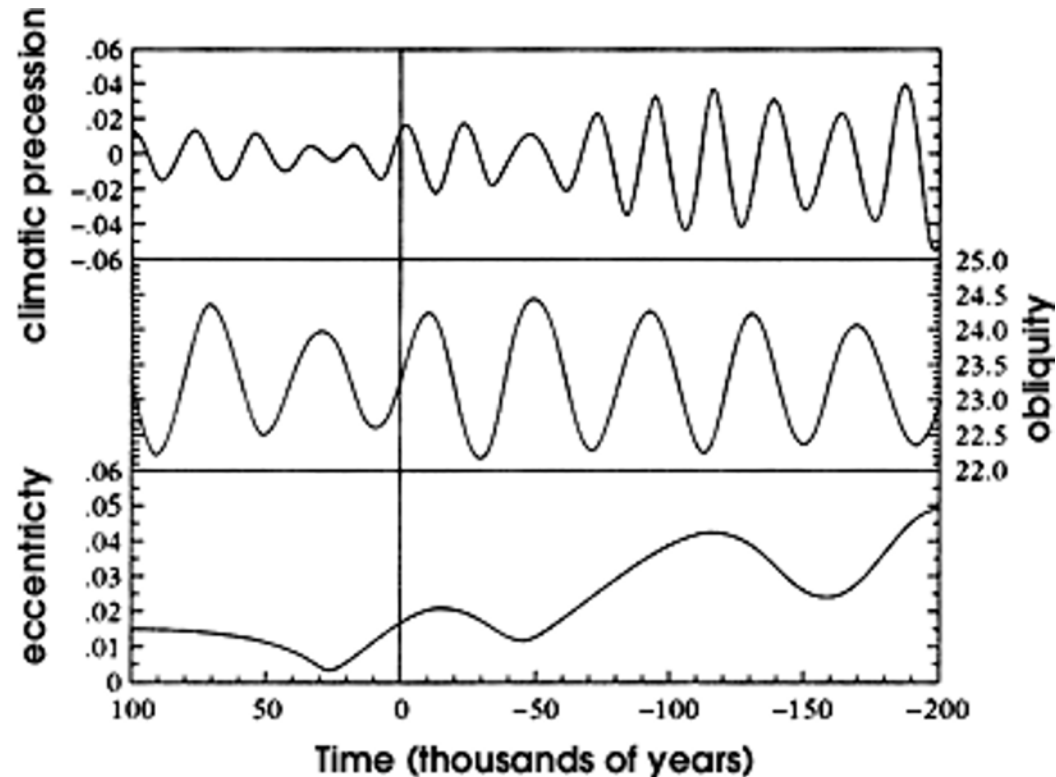


Earth's Orbit, Rotation, and the Ice Ages

Milankovich Theory: cyclical variations in Earth-Sun geometry combine to produce variations in the amount of solar energy that reaches Earth, in particular the ice-forming regions:

1. Changes in obliquity (rotation axis tilt)
2. Orbit eccentricity
3. Precession

These variations correlate well with the ice ages!



Questions, please!

Theory

Reality?

